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GP 1653

PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/014,318	
	<b>Filing Date</b>	November 9, 2001	
	<b>First Named Inventor</b>	Pallavicini, Maria G.	
	<b>Group Art Unit</b>	1653	
	<b>Examiner Name</b>	Not yet assigned	
<b>Total Number of Pages in This Submission</b>	6	<b>Attorney Docket Number</b>	023070-120900US

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Return Postcard; Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 CFR 3.71; Statement Under 37 CFR 3.73(b) (SB/96); copy of Recordation Form Cover Sheet and Assignment
<b>Remarks</b>		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm and Individual name</b>	Townsend and Townsend and Crew LLP Jean M. Lockyer, Ph.D. Reg. No. 44,879
<b>Signature</b>	
<b>Date</b>	June 17, 2002

CERTIFICATE OF MAILING	
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<b>Typed or printed name</b>	Nikki Newell
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TOWNSEND and TOWNSEND and CREW LLP

By

*Nikki Newell*

PATENT

Attorney Docket No.: 023070-120900

UC Case No. 1997-115-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MARIA G. PALLAVICINI and  
BRIAN P. MULLANEY

Serial No.: 10/014,318

Filed: November 9, 2001

For: IDENTIFICATION OF EXPRESSED  
GENES USING PHAGE DISPLAY

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**POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; M. Henry Heines, Reg. No. 28,219; Laurence J. Hyman, Reg. No. 35,551; Jean M. Lockyer, Reg. No. 44,879; Jeffry S. Mann, Reg. No. 42,837; Annette S. Parent, Reg. No. 42,058; William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; Ellen Lauver Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677; all of the firm of Townsend and Townsend and Crew LLP, as its attorneys to prosecute this application and to

transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with 37 CFR § 3.71.

An assignment of the entire interest in the above-identified subject application:

☐ was recorded on \_\_\_\_\_ at reel/frame  
\_\_\_\_\_/\_\_\_\_\_.

☒ is being concurrently submitted under separate cover to Attn: Box

Assignments for recording, a copy not for recordation is enclosed.

Please direct all telephone calls to Jean M. Lockyer and all correspondence relative to said application to the following address:

Townsend and Townsend and Crew LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834

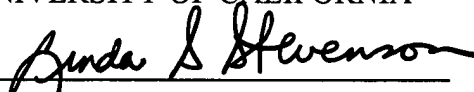
ASSIGNEE:

THE REGENTS OF THE  
UNIVERSITY OF CALIFORNIA

Signature:

Typed Name:

Title:



Linda S. Stevenson

Manager, Patent Prosecution

Office of Technology Transfer  
1111 Franklin Street, 5th Floor  
Oakland, CA 94607-5200

Dated: 6/14/02



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Attorney Docket No. 023070-120900US

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Maria G. Pallavicini and Brian P. Mullaney

Application No./Patent No.: 10/014,318

Filed/Issue Date: November 9, 2001

Entitled: IDENTIFICATION OF EXPRESSED GENES USING PHAGE DISPLAY

The Regents of the University of California, a California corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6/14/02  
Date

Linda S. Stevenson

Typed or printed name

Linda S. Stevenson  
Signature

Manager, Patent Prosecution

Title

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## 1. Name of conveying party(ies):

Maria G. Pallavicini  
Brian P. MullaneyAdditional name(s) of conveying parties attached? ☐ Yes ☒ No.

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Execution Date: May 10 and 15, 2002

## 2. Name and address of receiving party(ies)

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## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: JUN 28 2002A. Patent Application No(s): 10/014,318

B. Patent No(s):

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## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jean M. Lockyer  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-02006. Total number of applications and patents involved 17. Total fee (37 CFR 3.41): -----\$40.00

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true or copy of the original document.*Jean M. LockyerName of Person Signing  
Atty. Reg. No. 44,879  
SignatureMay 28, 2002

Date

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